

INFORMATION DISCLOSURE STATEMENT PTO-1449		ATTY. DOCKET NO. 39238-0747		SERIAL NO. 10/072,610		
		APPLICANT Stern et al.				
		FILING DATE 02/06/2002		GROUP 3739		
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	2003/0097162	05/22/2003	Kreindel			
	2003/0129154	07/10/2003	McDaniel			
	2003/0130710	07/10/2003	Baker et al.			
	2003/0139740	07/24/2003	Kreindel			
	2003/0163178	08/28/2003	Davison et al.			
	2003/0187488	10/02/2003	Kreindel et al.			
	2003/0233138	12/18/2003	Spooner			
	2003/0236487	12/25/2003	Knowlton			
	2004/0015157	01/22/2004	Connors et al.			
	2004/0133251	07/08/2004	Altshuler et al.			
	2004/0147984	07/29/2004	Altshuler et al.			
	2004/0162549	08/19/2004	Altshuler			
	2004/0199226	10/07/2004	Shadduck			
	4,585,237	04/29/1986	Koop			
FOREIGN PATENT DOCUMENTS						
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER	DATE CONSIDERED 12/22/05					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	4,646,737	03/03/1987	Hussein et al.				
	4,709,372	11/24/1987	Rando et al.				
	4,864,098	09/05/1989	Basanese et al.				
	4,891,820	01/02/1990	Rando et al.				
	5,011,483	04/30/1991	Sleister				
	5,057,104	10/15/1991	Chess				
	5,107,832	04/28/1992	Guibert et al.				
	5,131,904	07/21/1992	Markoll				
	5,136,676	08/04/1992	Arnett et al.				
	5,190,031	03/02/1993	Guibert et al.				
	5,312,395	05/17/1994	Tan et al.				
	5,344,418	09/06/1994	Ghaffari				
	5,364,394	11/15/1994	Mehl				
	5,387,176	02/07/1995	Markoll				
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EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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		FILING DATE 02/06/2002		GROUP 3739			
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<i>pw</i>	5,397,327	03/14/1995	Koop et al.				
	5,401,272	03/28/1995	Perkins				
	5,405,368	04/11/1995	Eckhouse				
	5,454,808	10/03/1995	Koop et al.				
	5,486,172	01/23/1996	Chess				
	5,509,916	04/23/1996	Taylor				
	5,522,814	06/04/1996	Bernaz				
	5,599,342	02/04/1997	Hsia et al.				
	5,620,478	04/15/1997	Eckhouse				
	5,626,631	05/06/1997	Eckhouse				
	5,628,744	05/13/1997	Coleman et al.				
	5,669,868	09/23/1997	Markoll				
	5,683,380	11/04/1997	Eckhouse et al.				
	5,720,772	02/24/1998	Eckhouse				
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EXAMINER	<i>pw</i>						
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U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<i>dh</i>	5,730,719	03/24/1998	Edwards				
	5,755,751	05/26/1998	Eckhouse				
	5,814,040	09/29/1998	Nelson et al.				
	5,849,029	12/15/1998	Eckhouse et al.				
	5,851,181	12/22/1998	Talmor				
	5,879,346	03/09/1999	Waldman et al.				
	5,885,273	03/23/1999	Eckhouse et al.				
	5,979,454	11/09/1999	Anvari et al.				
	5,997,530	12/07/1999	Nelson et al.				
	6,015,404	01/18/2000	Altshuler et al.				
	6,027,495	02/22/2000	Miller				
	6,050,990	04/18/2000	Tankovich et al.				
	6,053,909	04/25/2000	Shadduck				
	6,147,503	11/14/2000	Nelson et al.				
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EXAMINER	<i>dh</i>						
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	APPLICANT Stern et al.	
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U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<i>JW</i>	6,159,194	12/12/2000	Eggers et al.			
	6,171,301	01/09/2001	Nelson et al.			
	6,183,773	02/06/2001	Anderson			
	6,187,001	02/13/2001	Azar et al.			
	6,200,308	03/13/2001	Pope et al.			
	6,214,034	04/10/2001	Azar			
	6,248,103	06/19/2001	Tannenbaum et al.			
	6,254,594	07/03/2001	Berry			
	6,267,758	07/31/2001	Daw et al.			
	6,280,438	08/28/2001	Eckhouse et al.			
	6,283,956	09/04/2001	McDaniel			
	6,299,620	10/09/2001	Shadduck et al.			
	6,387,103	05/14/2002	Shadduck			
	6,402,739	06/11/2002	Neev			
	6,408,212	06/18/2002	Neev			

FOREIGN PATENT DOCUMENTS

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EXAMINER <i>JW</i>	DATE CONSIDERED	<i>12/22/05</i>	

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JULY 10 2003

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		FILING DATE 02/06/2002	GROUP 3739

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	2001/0037118	11/01/2001	Shadduck			
	2002/0016601	02/07/2002	Shadduck			
	2002/0019625	02/14/2002	Azar			
	2002/0123743	09/05/2002	Shanks, et al.			
	2002/0161362	10/31/2002	Penny et al.			
	2002/0183724	12/05/2002	Neev			
	2002/0183789	12/05/2002	Neev			
	2003/0023283	01/30/2003	McDaniel			
	2003/0028186	02/06/2003	Kreindel			
	2003/0032950	02/13/2003	Altshuler et al.			
	2003/0040739	02/27/2003	Koop			
	2003/0059386	03/27/2003	Sumian et al.			
	2003/0065313	04/03/2003	Koop et al.			
	2003/0065314	04/03/2003	Altshuler et al.			
	2003/0069567	04/10/2003	Eckhouse et al.			

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
	12/22/03

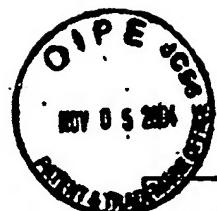
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U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<i>fr</i>	6,413,253	07/02/2002	Koop et al.				
	6,451,007	09/17/2002	Koop et al.				
	6,463,336	10/08/2002	Mawhinney				
	6,485,484	11/26/2002	Connors et al.				
	6,488,696	12/03/2002	Cho et al.				
	6,508,813	01/21/2003	Altshuler				
	6,514,243	02/04/2003	Eckhouse et al.				
	6,514,244	02/04/2003	Pope et al.				
	6,533,775	03/18/2003	Rizou				
	6,569,155	05/27/2003	Connors et al.				
	6,600,951	07/29/2003	Anderson				
	6,605,079	08/12/2003	Shanks et al.				
	6,612,212	12/19/2000	Kreindel et al.				
	6,623,454	09/23/2003	Eggers et al.				
	6,629,974	10/07/2003	Penny et al.				
FOREIGN PATENT DOCUMENTS							
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER	<i>fr</i>		DATE CONSIDERED	12/22/00			

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		APPLICANT Stern et al.					
		FILING DATE 02/06/2002		GROUP 3739			
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	6,632,218	10/14/2003	Furumoto et al.				
	6,649,904	11/18/2003	Hayashi et al.				
	6,653,618	11/25/2003	Zenzie				
	6,662,054	12/09/2003	Kreindel et al.				
	6,666,856	12/23/2003	Connors et al.				
	6,702,808	03/09/2004	Kreindel				
	6,702,838	03/09/2004	Anderson, et al.				
	6,723,090	04/20/2004	Altshuler et al.				
	6,743,222	06/1/2004	Durkin et al.				
	6,749,602	06/15/2004	Sierra et al.				
	6,758,845	07/06/2004	Weckwerth et al.				
	RE 36,634	03/28/2000	Ghaffari				
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EXAMINER							
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SHEET 1 OF 9

INFORMATION DISCLOSURE STATEMENT PTO-1449		ATTY. DOCKET NO. 39238-0747		SERIAL NO. 10/072,610		
		APPLICANT STERN, Roger A. et al.				
		FILING DATE 02/06/2002		GROUP: 3739		
		U.S. PATENT DOCUMENTS				
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
AV	6,053,909	4/25/00	Shadduck	606	3	3/27/98
	6,413,253	7/2/02	Koop, et al.	606	27	11/3/98
	5,509,916	4/23/96	Taylor	606	13	8/12/94
	5,401,272	3/28/95	Perkins	606	15	2/16/94
	5,011,483	4/30/91	Sleister	606	37	6/26/89
	6,413,253	7/2/02	Koop et al.	606	27	11/3/98
	6,273,885	8/14/01	Koop et al.	606	9	8/16/98
	6,451,007	9/17/02	Koop et al.	606	9	7/29/99
	5,344,418	9/06/94	Ghaffari	606	9	12/12/91
	5,814,008	9/29/98	Chen et al.	604	21	7/29/96
	5,968,034	10/19/99	Fullmer et al.	606	9	6/24/98
	5,885,274	3/23/99	Fullmer et al.	606	9	6/24/97
FOREIGN PATENT DOCUMENTS						
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	WO 01/08545 A2	2/8/01	PCT	A61B		<input checked="" type="checkbox"/> <input type="checkbox"/>
	WO 00/48644 A3	8/24/00	PCT	A61B	18/00	<input checked="" type="checkbox"/> <input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
AV	Thermage, Inc., "Complaint For Patent Infringement", July 23, 2004.					
	Thermage, Inc., "Motion for Preliminary Injunction", August 6, 2004.					
	Thermage, Inc., "Memorandum in Support of Motion for Preliminary Injunction", August 6, 2004.					
	Thermage, Inc., "Declaration of Edward A. Ebbers in Support of Motion for Preliminary Injunction" and attached exhibits A-E, August 6, 2004.					
EXAMINER	AV	DATE CONSIDERED		12/22/01		

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INFORMATION DISCLOSURE
CITATION

PTO-1449



ATTY. DOCKET NO. 39238-0747	APPLICATION NO. 10/072,610
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TITLE: Handpiece For Treatment of Tissue	
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APPLICANT Stem et al.	
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PILING DATE February 6, 2002	GROUP 3739
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U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
W	RE 32,849	1/89	Wei, et al.	204	192.27	6/2/85
	3,831,604	8/74	Neefc	128	260	9/19/73
	4,074,718	11/78	Morrison	128	303.14	3/17/76
	4,140,130	2/79	Storm, III	128	404	5/31/77
	4,164,226	8/79	Tapper	128	419 R	6/13/77
	4,290,435	8/81	Waggott	128	800	9/7/79
	4,343,301	8/82	Indech	128	24	10/4/79
	4,346,715	8/82	Gammell	128	422	5/13/80

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
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RJ	92/19414	11/92	PCT				
	0 519 415	12/92	Europe				
	31 21 683	12/82	Germany				X
	1 949 534	4/70	Germany				X
	2 609 245	7/88	France				X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

BR	Allain, et al. "Isometric Tensions Developed During the Hydrothermal Swelling of Rat Skin", Connective Tissue Research, vol 7, pp. 697-701, (1990).
	Danielson, C. "Age-Related thermal stability and susceptibility to proteolysis of rat bone collagen", ...chem, Great Britain, pp. 697-701, (1990).
	Danielson, C. "Thermal stability of reconstituted collagen fibrils, shrinkage characteristics upon in vitro maturation", Mechanisms of Ageing and Development, Vol 15, pp. 269-278, (1981).
	Kronick, et al. "The locations of collagens with different thermal stabilities in fibrils of bovine reticular dermis". Connective Tissue Research, Vol. 18, pp. 123-134, (1988).
	Mainster, M.A. "Ophthalmic applications of infrared lasers - thermal considerations", Visual Sci., pp. 414-420, April 1979.
	Pearce, et al. "Kinetic models of laser-tissue fusion processes", ISA, paper #93-044, pp. 355-360, (1993).

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**INFORMATION DISCLOSURE
CITATION**

PTO-1449

ATTY. DOCKET NO.
39238-0747APPLICATION NO.
10/072,610TITLE:
Handpiece For Treatment of TissueAPPLICANT
Stem et al.FILING DATE
February 6, 2002GROUP
3739**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<i>PR</i>	5,366,443	11/94	Eggers, et al.			
	5,697,909	12/97	Eggers, et al.			
	5,697,882	12/97	Eggers, et al.			
	5,697,536	12/97	Eggers, et al.			
	5,697,281	12/97	Eggers, et al.			
	5,693,045	12/97	Eggers			
	5,692,058	11/97	Eggers, et al.			
	5,683,366	11/97	Eggers, et al.			
	5,681,282	10/97	Eggers, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
<i>PR</i>	266678	12/97	New Zealand				
	98/03220	1/98	PCT				
	68/03117	1/98	PCT				
	97/18768	5/97	PCT				
	97/18765	5/97	PCT				
	96/39914	12/96	PCT				
	96/32051	10/96	PCT				
	96/27327	9/96	PCT				
	96/27240	9/96	PCT				
	94/26228	11/94	PCT				
	93/13816	7/93	PCT				

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